



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/685,924	10/10/2000	Phillip Koh-Kwe Hsu	4034-62	4729

7590 01/20/2004

LESLIE GLADSTONE RESTAINO, ESQ.  
BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP  
163 MADISON AVENUE  
P. O. BOX 1989  
MORRISTOWN,, NJ 07962-1989

EXAMINER

HAYES, JOHN W

ART UNIT	PAPER NUMBER
----------	--------------

3621

DATE MAILED: 01/20/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

SL

<b>Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/685,924	HSU, PHILLIP KOH-KWE	
	<b>Examiner</b>	<b>Art Unit</b>	
	John W Hayes	3621	

All participants (applicant, applicant's representative, PTO personnel):

- (1) John W Hayes. (3) \_\_\_\_.
- (2) Antonio Papageorgio. (4) \_\_\_\_.

Date of Interview: 14 January 2004.

Type: a) ☒ Telephonic b) ☐ Video Conference  
 c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
 If Yes, brief description: \_\_\_\_.

Claim(s) discussed: All.

Identification of prior art discussed: Schneider and Kitamura.

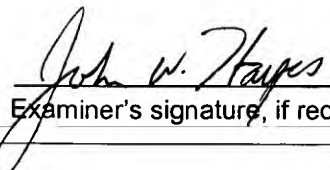
Agreement with respect to the claims f) ☐ was reached. g) ☒ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Discussed amendments to the claims relating to identifying the financial services applications used by the users as well as real time market financial data. Examiner agreed to consider the amendments to the claims upon formal submission, however, stated that if the amendments raise a new issue that requires additional searching or consideration, then an advisory action may be issued.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
 Examiner's signature, if required